



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION NO.: 10/673,674

ART UNIT: 2176

APPLICANT(S): Michael D. Hitchcock, James H. Wolfston, Jr.,
John W. Stedman, Andree J. Hertz and Raymond L. Price

FILING DATE: September 29, 2003

EXAMINER: James H. Blackwell

TITLE: Universal Forms Engine

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Pursuant to the guidelines for Information Disclosure Statements, 37 C.F.R. §§ 1.97-1.98, attached hereto are forms PTO/SB/08A and PTO/SB/08B with references listed for consideration by the Office. Copies of cited US patents and publications are not provided in accordance with the waiver published in the August 5, 2003 Official Gazette.

In accordance with 37 C.F.R. §§ 1.97(g)-(h), the filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made or an admission

11/09/2006 MBELETE1 00000002 10673674

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On: November 3, 2006

By: Margarita Marquez

Name: Margarita Marquez

Tel: (512) 476-0005

that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed after the mailing of a first Office action and before the mailing date of any final action under §1.113, a Notice of Allowance under §1.311, or an action that otherwise closes prosecution in the application, and within three months of the filing date of a national application other than a continued prosecution application under § 1.53(d).

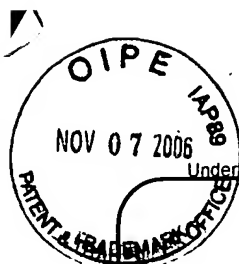
Respectfully submitted,

Date: November 3, 2006

By:



Michael O. Scheinberg
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Austin, Texas 78716-4140
Telephone: (512) 476-0005
Facsimile: (512) 476-1513



IFW #

PTO/SB/21 (02-04)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/673,674
Filing Date	09/29/2003
First Named Inventor	Michael D. Hitchcock
Art Unit	2176
Examiner Name	James H. Blackwell
Attorney Docket Number	C069

Total Number of Pages in This Submission

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance communication to Technology Center (TC)
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Michael O. Scheinberg
Signature	
Date	11/3/06

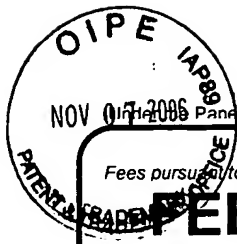
CERTIFICATE OF TRANSMISSION/MAILING

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Typed or printed name	Margarita Marquez		
Signature		Date	11/03/2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Effective on 12/08/2004.

Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FEE TRANSMITTAL
For FY 2005☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 180.00

Complete if Known

Application Number	10/673,674
Filing Date	09/29/2003
First Named Inventor	Michael D. Hitchcock
Examiner Name	James H. Blackwell
Art Unit	2176
Attorney Docket No.	C069

METHOD OF PAYMENT (check all that apply)☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____☒ Deposit Account Deposit Account Number: 50-1635 Deposit Account Name: Michael O. Scheinberg

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 20 or HP =	x	=	

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
- 3 or HP =	x	=	

HP = highest number of independent claims paid for, if greater than 3.

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Information Disclosure Statement Surcharge

Fees Paid (\$)

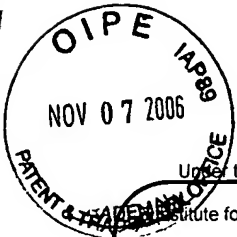
180.00

SUBMITTED BY

Signature		Registration No. (Attorney/Agent) 36,919	Telephone (512) 476-0005
Name (Print/Type)	Michael O. Scheinberg	Date	11-03-06

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/673,674
				Filing Date	09/29/2003
				First Named Inventor	Michael D. Hitchcock
				Art Unit	2176
				Examiner Name	James H. Blackwell
Sheet	1	of	8	Attorney Docket Number	C069

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	A	Availbale Software - FromZ - The Form Processor (23 p.)	
	B	CollegeScope 1996 Jet Form Corporation (72 p.)	
	C	Advance Information Management Strategies - Jet Form Corporation, 1995 (294 p.)	
	D	Lantel Internet Solutions, Inc. (32 p.)	
	E		
	F		
	G		
	H		
	I		
	J		

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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/673,674
Filing Date	09/29/2003
First Named Inventor	Michael D. Hitchcock
Art Unit	2176
Examiner Name	James H. Blackwell
Attorney Docket Number	C069

Sheet	2	of	8
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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	A	STEVEN L. VANECHANOS, "Order Entry System for Internet," Dynamic Web Transaction Systems, Inc., 1995 (78 p.)	
	B	XAP Corporation, Web pages from www.xap.com (98 p.)	
	C	Website Reviews, Web pages from www.ncs.com 1996 (33 p.)	
	D	Web pages from www.xap.com (109 p.)	
	E	"Web Crossing - Templates," Lunden and Associates, 1996 (27 p.)	
	F	"Web Corssing - Sysop Documentation," Lunden and Associates, 1996 (15 p.)	
	G	"Instructions for the Wellesley College Application - Apply CoolegeEdge," SNAP Technologies, 1996 (7 p.)	
	H	"SNAP Technologies' Track Record," 1996 (2 p.)	
	I	"A Step by Step Guide to Going to College" (1 p.)	
	J	"The Complete Guide to College Planning for H.S. Freshmen" (7 p.)	

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Substitute for form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/673,674
				Filing Date	09/29/2003
				First Named Inventor	Michael D. Hitchcock
				Art Unit	2176
				Examiner Name	James H. Blackwell
Sheet	3	of	3	Attorney Docket Number	C069

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	A	E-mail from Young Shin to Jon Hambidge attaching proposal to develop college planning section of Schwab's website.	
	B	E-mail from Steve Chen from SNAP Technologies to Frank Tait from SCT, regarding working together as a company	
	C	E-mail from Juns Shin to Stephen Chen regarding CollegeEdge web application system	
	D	E-mail from David Forbes to Steve Chen attaching list	
	E	E-mail from Steve Chen to Les Lloys	
	F	E-mail from Young Shin to Steve Chen	
	G	E-mail from Steve Chen to David Forbes, David Wang, Jung Shin, Young Shin, Connellan Coxwell	
	H	E-mail from Steve Chen to Connellan Coxwell, David Forbes, David Wang, Matthew Rolufs, Philips Joung, Renee Kremer, Young Shin and Steve Chen	
	I	E-mail from Jung Shin to Stephen Chen	
	J	E-mail from Stephen Chen to Marjory Sampson and Shannon Dahil	

Examiner Signature		Date Considered	
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				Art Unit	2176
				Examiner Name	James H. Blackwell
Sheet	4	of	8	Attorney Docket Number	C069

NON PATENT LITERATURE DOCUMENTS			
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	A	E-mail from Steve Chen to Vivian Barry	
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	E	E-mail from Steve Chen to Carol Doherty, Marylon O'Donnell, Sharon Bauman and Jason K. Morros	
	F	E-mail from Steve Chen to Matthew Rolufs, Philip Joung, Renee Kremer, Sharon Benmaman, David Forbes and David Wang	
	G	E-mail from Young Shin to Vivian Barry	
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	I	E-mail from Jung Shin to Steven Chen and Young Shin	
	J	E-mail from Young Shin to Bill Petersons	

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				Art Unit	2176
				Examiner Name	James H. Blackwell
Sheet	5	of	8	Attorney Docket Number	C069

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	A	E-mail from Jung Shin to Stephen Chen and Young Shin	
	B	E-mail from Jung Shin to Young Shin and Stephen Chen	
	C	E-mail from Steve Chen to Jim Ptasvyski	
	D	E-mail from Jung Shin to Steve Chen	
	E	E-mail from Whitman J. to Stephen Chen	
	F	E-mail from Steve Chen to David Forbes, David Wang, Jung SHin, Young Shin and Connellan Coxwell	
	G	E-mail from Steve Chen to several people	
	H	E-mail from Steve Chen to several people	
	I	E-mial from Jung Shin to Steve Chen and Young Shin	
	J	E-mail from Young Shin to Steve Chen, Philip Joung, Connellan Coxwell and David Forbes	

Examiner Signature		Date Considered	
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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	A	E-mail from Y. Shin to Young Shin and Steve Chen	
	B	E-mail from Jung Shin to Connellan Coxwell, Steven Chen and Young Shin	
	C	E-mail from Jung Shin to Steven Chen and Young Shin	
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	E	E-mail from YShin to Steven Chen	
	F	Email from Young Shin to Nica Ganley	
	G	E-mail from Nica Ganley to Steve Chen	
	H	E-mail from Jung Shin to Young Shin and Steve Chen	
	I	E-mail from Steve Chen to Young Shin and Jun Shin	
	J	E-mial from Jung Shin to Steve Chen and Young Shin	

Examiner Signature		Date Considered	
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Sheet	7	of	8	Attorney Docket Number		C069

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	A	E-mail from David Forbes to Steve Chen, David Wang, Philip Joung and Jung Shin		
	B	Communication from Young Shin, President of SNAP Technologies to Michael C. Behnke Director of MIT transmitting Terms of Agreement (November 14, 1995)		
	C	YOUNG SHIN, "CollegeEdge School Edition," Family Resources Network, April 30, 1996 (21 p.)		
	D	Communication from Young Shin to Bruce Bernstein attaching a Partnership Proposal for Electronics Proposal for Electronics College Applications for the WWW., July 10, 1996 (18 p.)		
	E	Communication from Young Shin to Jennifer Hines attaching Terms of Agreement, July 22, 1996 (7 p.)		
	F	Communication from Young Shin to Bruce Bernstein attaching the revised Terms of Agreement, July 22, 1996 (3 p.)		
	G	Communication from Young Shin to Sharon Bauman attaching the revised Terms of Agreement, July 22, 1996 (6 p.)		
	H	Communication from Young Shin to Alan Clemow attaching the revised Terms of Agreement, July 22, 1996 (3 p.)		
	I	Advance Information Management Strategies - Jet Form Corporation, 1995 (294 p.)		
	J	Lantel Internet Solutions, Inc. (32 p.)		

Examiner Signature		Date Considered	
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/673,674
Filing Date	09/29/2003
First Named Inventor	Michael D. Hitchcock
Art Unit	2176
Examiner Name	James H. Blackwell
Attorney Docket Number	C069

Sheet	8	of	8
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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	A	Availbale Software - FromZ - The Form Processor (23 p.)	
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